

10/08/03
#10

NATIONAL PATENT APPLICATION

IN THE UNITED STATES PATENT OFFICE

Examiner: Janice Lee Krizek
(703) 308-2026 (ph), (703) 872-9326 (fax)
Applicants: Phillip G. Spaniol, Steven J. Spaniol, and Kevin D. Kaschke
Intl. Appl. No.: PCT/US98/18376
Intl. Filing Date: 23 October 1998 Docket No.: FT0002R
Nat'l. Appln. No.: 09/830,005 Group Art Unit: 3652
Nat'l. Filing Date: 21 April 2001
Entitled: Agricultural Bale Accumulator And Method Therefor

Farm Technology, L.L.C.
Kevin D. Kaschke
1507 Diamond Drive
Hoffman Estates, Illinois 60195

September 20, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
SEP 26 2003
GROUP 3600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(b)(3)

1. Prosecution Status

After receiving the Office action dated May 28, 2003, but before the mailing of a first Office action on the merits, for the above identified patent application, the Applicant hereby submits the present information disclosure statement (IDS) under 37 C.F.R. 1.97(b)(3).

2. Statements

a. The Applicant submits herewith information that may be material to the examination of the present patent application, for which there may be a duty to disclose.

b. The filing of the present IDS shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability as defined in Section 1.56(b) (37 C.F.R. 1.97(h)), or that no other material information exists.

c. The filing of the present IDS shall not be construed as an admission against interest in any manner.

3. Form PTO-1449

Pursuant to 37 CFR 1.98(a)(1), Form PTO-1449 listing the information is attached herewith.

4. Copies of Listed Information Accompanying This Information Disclosure Statement

Pursuant to 37 CFR 1.98(a)(2), legible copies of all items listed in Form PTO-1449 are attached herewith.

5. Fee

No fee is required for the submission of the present IDS.

6. Identification of Person Making This Information Disclosure Statement

The person submitting this statement is an applicant and an inventor who signs below based on the information known to him as of the date signed below, which information is associated with the filing and prosecution of the present patent application.

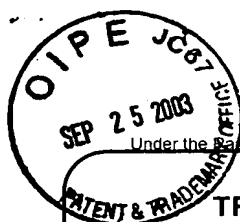
7. Return Communications

Any inquiry related to this response should be communicated to Kevin D. Kaschke at the address and phone number presently on file with the USPTO, and as noted above.

Respectfully submitted,
Phillip G. Spaniol, et al.

By: Kevin D. Kaschke
Kevin D. Kaschke 09/20/03
Applicant/Inventor
Registration No. 35,767
Phone: (847) 794-1234

3 652



PTO/SB/21 (08-03)
 Approved for use through 08/30/2003. OMB 0651-0031
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/830,005	
	Filing Date	April 21, 2001	
	First Named Inventor	Phillip G. Spaniol, et al.	
	Art Unit	3652	
	Examiner Name	Janice Lee Krizek	
Total Number of Pages in This Submission	42	Attorney Docket Number	FT0002R

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Form PTO 1449, patents listed in Form PTO 1449, and return receipt post card.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Kevin D. Kaschke	
Signature	<i>Kevin D. Kaschke</i>	
Date	<i>September 22, 2003</i>	

RECEIVED

SEP 26 2003

GROUP 3600

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Kevin D. Kaschke	
Signature	<i>Kevin D. Kaschke</i>	Date
		09/22/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.